

Aging and Long-Term Services Department



FY16
4th Quarter Report
Key Performance Measures

Table of Contents

Standard Items

1. Agency Mission Statement
2. Summary of Key Strategic Priorities
3. Program description, purpose, budget, and links to key agency initiatives, objectives, and Performance Measures
 - a. Program Support
 - i. Capital Projects
 - b. Consumer and Elder Rights
 - i. Aging and Disability Resource Center
 - ii. State Long-Term Care Ombudsman
 - iii. Care Transition
 - c. Adult Protective Services
 - d. Aging Network
 - i. Employment Programs
 - ii. Senior Services
 - iii. Indian Area Agency on Aging

Agency Key Performance Measures

1. Percent of ombudsman complaints resolved within sixty days
2. Percent of residents requesting short-term transition assistance from a nursing facility who remained in the community during the six month follow-up
3. Percent of calls to the Aging and Disability Resource Center that are answered by a live operator
4. Number of adult protective services investigations of abuse, neglect, or exploitation
5. Percent of emergency or priority one investigations in which a caseworker makes initial face to face contact with the alleged victim within prescribed time frames
6. Percent of older New Mexicans whose food insecurity is alleviated by meals received through the aging network
7. Number of hours of caregiver support provided

Standard Items

Agency Mission Statement

Partners in Lifelong Independence and Healthy Aging

The Aging and Long-Term Services Department provides accessible, integrated services to older adults, adults with disabilities and caregivers to assist them in maintaining their independence, dignity, autonomy, health, safety and economic well-being, thereby empowering them to live on their own terms in their own communities as productively as possible.

Strategic Plan Priorities

- Support Aging in Place
- Safeguard Vulnerable Adults and Elders
- Combat Senior Hunger
- Support Caregivers
- Encourage Healthy and Productive Aging

Attributes

- Protects the safety and rights of those we serve
- Promotes personal choice and self-determination
- Treats all persons with respect, embracing cultural diversity
- Encourages collaborative partnerships
- Provides fiscally responsible services
- Advocates for seniors, adults with disabilities, caregivers, and their families
- Collaborates with service provider partners
- Assists consumers and families in securing services and benefits
- Provides opportunities for volunteering, employment, and training

Program Description, Purpose, and Budget, Links to Key Agency Initiatives, Objectives, and Key Performance Measures

Agency Programs

1. Program Support
 - a. Capital Projects

2. Consumer and Elder Rights
 - a. Aging and Disability Resource Center
 - b. State Long-Term Care Ombudsman
 - c. Care Transition

3. Adult Protective Services

4. Aging Network
 - a. Employment Programs
 - b. Senior Services
 - c. Indian Area Agency on Aging
 - d. Alzheimer's and Dementia Care

Program Support

Capital Projects Bureau

To provide clerical, record keeping and administrative support in the areas of personnel, budget, procurement and contracting to agency staff, outside contractors and external control agencies to implement and manage programs.

Budget

<u>General Fund</u>	<u>Federal Funds</u>	<u>Total</u>
\$3,970.5	\$624.0	\$4,594.5

Links to Key Agency Initiatives

- Support the delivery of quality services through efficient and effective administration.

Consumer and Elder Rights

Aging and Disability Resource Center
State Long-Term Care Ombudsman
Care Transition

To provide current information, assistance, counseling, education, and support to older individuals and persons with disabilities, residents of long-term care facilities and their families and caregivers that allow them to protect their rights and make informed choices about quality service.

Budget

<u>General Fund</u>	<u>Transfers (HSD)</u>	<u>Federal Funds</u>	<u>Total</u>
\$2,197.7	\$599.0	\$1,370.3	\$4,167.0

Links to Key Agency Initiatives

- Protect the rights and improve the quality of life for residents of long-term care facilities.
- Ensure older adults, individuals living with disabilities and their families have information about and access to services and benefits.
- Support aging in place – provide services in homes and communities.

Key Performance Measure 1

Percent of ombudsman complaints resolved within sixty days

Objective: Improve the quality of life for residents of long-term care facilities and their families by resolving complaints within 60 days.

Key Performance Measure 2

Percent of residents requesting short-term transition assistance from a nursing facility who remained in the community during the six month follow-up

Objective: Provide comprehensive assistance to residents in obtaining needed long-term services and supports that allow for the greatest level of independence possible in a community setting.

Key Performance Measure 3

Percent of calls to the Aging and Disability Resource Center that are answered by a live operator

Objective: Ensure that counseling and assistance are provided in a timely and comprehensive manner by answering calls made to the ADRC.

Adult Protective Services

To investigate reports of abuse, neglect, or exploitation of adults who do not have the capacity to protect themselves and to provide short-term services to prevent continued abuse, neglect, or exploitation.

Budget

<u>General Fund</u>	<u>Internal Transfer</u>	<u>Total</u>
\$11,363.4	\$2,498.6	\$13,862.0

Links to Key Agency Initiatives

- Safeguard vulnerable elders and disabled adults.

Key Performance Measure 4

Number of adult protective services investigations of abuse, neglect, or exploitation.

Objective: Screen all reports made to APS and conduct investigations of alleged abuse, neglect, or exploitation of adults with incapacities who are unable to protect themselves.

Key Performance Measure 5

Percent of emergency or priority one investigations in which a caseworker makes initial face-to-face contact with the alleged victim within prescribed time frames.

Objective: Caseworkers will initiate a face-to-face response in emergency or priority one investigations within timeframes assigned by field supervisors.

Aging Network Division

Employment Programs Bureau
Senior Services Bureau
Indian Area Agency on Aging
Office of Alzheimer's and Dementia Care

To provide supportive social and nutrition services for older individuals and persons living with disabilities so they can remain independent and involved in their communities. To provide training, education, and work experience to older individuals so they can enter or re-enter the work force and receive appropriate income and benefits.

Budget

<u>General Fund</u>	<u>Other State Funds</u>	<u>Federal Funds</u>	<u>Total</u>
\$30,347.8	\$128.9	\$10,557.6	\$41,034.3

Links to Key Agency Initiatives

- Provide community-based services for older adults and individuals living with disabilities to remain independent, at home and contributing to the community.
- Increase economic security for older adults.
- Support caregivers.
- Encourage healthy and productive aging.

Key Performance Measure 6

Percent of older New Mexicans whose food insecurity is alleviated by meals received through the aging network

Objective: Alleviate food insecurity for older, at-risk New Mexicans so that their daily nutritional requirements are met, fostering health and wellness.

Key Performance Measure 7

Number of hours of caregiver support provided

Objective: Provide caregiver support so that older adults and persons with disabilities can remain as independent as possible in their own homes for as long as possible and so that caregivers can receive the support they need to assist loved ones.

Agency Key Performance Measures

1. Percent of Ombudsman complaints resolved within sixty days
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Key Performance Measure 1: Percent of Ombudsman complaints resolved within sixty days

Objective: Improve the quality of life for residents of long-term care facilities and their families by resolving cases/complaints within 60 days.

FY16 Target: 95%
Year-to-date: 99.73%

4th Quarter: 99%

Program: The State Long-Term Care Ombudsman Program advocates for the recognition, respect, and enforcement of the civil and human rights of residents of long-term care facilities in New Mexico. Highly skilled staff and many volunteers throughout the state regularly visit nursing homes and other long-term care facilities to ensure that residents are properly treated. The Ombudsman Program's primary duty is to investigate and resolve complaints made by or on behalf of residents. In the fourth quarter of FY16, 807 complaints were resolved for residents of nursing homes and assisted living facilities.

Explanation of Measure: A complaint is defined as a resident concern or issue brought to the attention of the State Long-Term Care Ombudsman Program that is appropriate for investigation and requires resolution. The complaint can be resolved to the resident's satisfaction or it can be referred to a regulatory agency for additional investigation and oversight.

The number of complaints resolved is dependent on numerous variables. Some of these are the number of volunteers, the level of activity of each volunteer, the number of issues resolved at the consultation stage before reaching the complaint stage, changes in Program staffing and the staffing levels and staff turnover in facilities that impact quality of care. The fourth quarter FY16 average number of days to close a complaint was 20.

Trend and Benchmark

- Sources of Data: Staff and volunteer Ombudsmen
- Benchmark Data: National Ombudsman Reporting System (NORS) Federal Fiscal Year data for the average number of complaints in nursing and assisted living facilities reported nationally and in eight states, which have a comparable number of nursing and assisted living facility beds - DL, ID, ME, MT, ND, NH, NV and SD. From FY09 to FY14 the number of complaints has decreased nationally and in New Mexico.

State Fiscal Year	Complaints Resolved within 60 days	National Benchmark average/state
FY12	3,728/3,781= 98.6%	3,797
FY13	4,116/4,191= 98.2%	3,737
FY14	3,919/3,938= 99.5%	3,684
FY15	4,018/4,087= 98.3%	3,906

The Ombudsman Program has a proactive training and consultation initiative to reduce the number of complaints in nursing homes and assisted living facilities. The intent of the preventative effort is to educate and interact with residents, families, facility representatives and the general community such that issues and concerns are dealt with prior to becoming a complaint. The success of the proactive effort will positively impact the quality of life of vulnerable adults.

FY16 Results

- Complaints (number, increase or decrease, and why) - There were 807 complaints during the fourth quarter (a decrease of 277 complaints due to changes in Program staffing, 99% of which were resolved within 60 days;
- Volunteer hours and contacts (duplicated and unduplicated) - Volunteer Ombudsmen contributed 2,183 hours of service, a slight increase of 17 hours from the previous quarter. Volunteer training on reporting and documentation continues and indicates a stable impact on data collection;
- Volunteers met with 939 residents for the first time, and logged 6,923 repeat visits with residents;
- Visited 100% of nursing homes during fourth quarter FY16;
- Visited 73% of assisted living facilities during fourth quarter FY16;
- Responded to 100% of calls for assistance within two business days of contact;
- Provided 11 sessions of *Resident Rights* education to public and private/industry;
- Provided 2,100 consultative advocacy contacts, an increase of 233 consultations.

FY16 Results	1 st Qtr	2 nd Qtr	3 rd Qtr	4 th Qtr	Annual
Number of complaints resolved in nursing homes	664	691	770	593	2,718
Number of complaints resolved in assisted living	192	167	310	211	880
Number of complaints resolved in other settings	8	2	4	3	17
Total	864	860	1,084	807	3,615
Percent of complaints resolved within 60 days	99.96	99.96	100	99	99.73
Consultations	2,486	2,310	1,867	2,100	8,763
Number of active volunteers	79	78	77	68	75
Number of first-time resident contacts	769	443	949	939	3,100
Number of repeat visits with residents	7,548	5,614	7,431	6,923	27,516
Number of new volunteers trained/certified	23	12	8	0	43

Factors contributing to fluctuation in numbers

Fluctuations in numbers from quarter to quarter are influenced by a combination of factors. Factors that most consistently contribute to fluctuations in performance results are: the level of experience in the current Ombudsman Volunteer pool, the number of reports received from volunteers, facility staffing and census, type of complaints reported and changes in program staffing.

Types of complaints

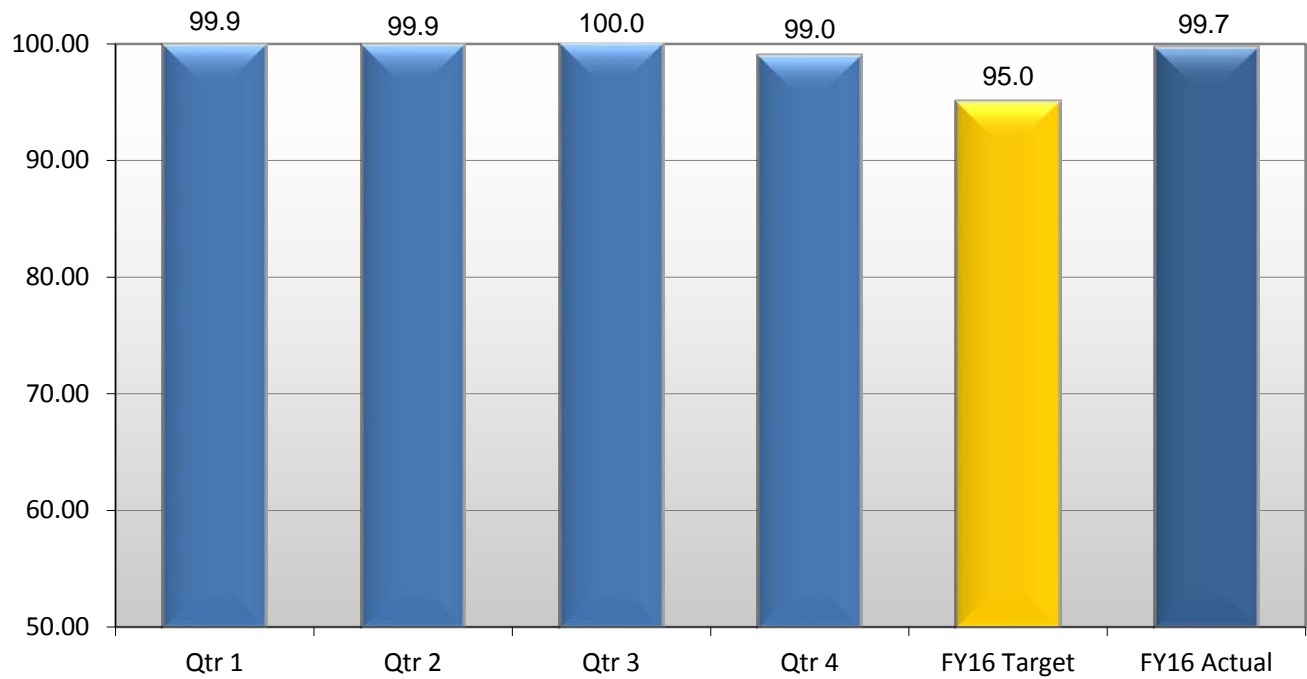
The types of complaints in nursing and assisted living facilities are varied and can take from a day to several months to resolve. Some of the typical complaints can be about care, food, living environment, activities and social services.

The top five complaints resolved during the fourth quarter were:

- Failure to respond to requests for assistance (6.14%)
- Dignity, respect – staff attitudes (6.60%)
- Food service, quantity, quality, variation, choice, condiments, utensils, menu (3.48%)
- Medications – administration, organization (4.75%)
- Discharge/eviction – planning, notice, procedure (3.59%)

Note: the percent represents the percent of a specific complaint as a part of all complaints.

Percent of Ombudsman Complaints Resolved within Sixty Days



Ombudsman Action Plan

Action	Responsibility	Timeline
1. Recruit volunteer Ombudsman	Ombudsman staff	Ongoing
2. Train volunteer Ombudsman		
3. Visit residents	Staff and Volunteers	Ongoing
4. Resolve complaints	Staff and Volunteers	Ongoing
5. Complete reports	Staff and Volunteers	Ongoing

Key Performance Measure 2: Percent of residents requesting short-term transition assistance from a nursing facility who remained in the community during the six month follow-up

Objective: Provide comprehensive assistance to residents in obtaining needed long-term services and supports that allow for the greatest level of independence possible in a community setting.

FY16 Target: 85%

4th Quarter: 80%

Year-to-date: 86%

Program: The New Mexico Aging and Long-Term Services Department, Consumer and Elder Rights Division (CERD) created the new Care Transition Bureau (CTB) in FY14. The focus of this statewide program is to assist residents transitioning from long-term care facilities back into the community setting. The CTB provides a clear pathway regarding available choices for long-term service and support options to those who would like to return home or to another residential setting in the community. Program staff works with the individual, long-term care facility staff, families, guardians, community service providers, and other relevant entities to ensure that transitioning individuals are connected to programs and services that will support the greatest level of independence possible in a community setting. The CTB uses a person-centered approach and face-to face assistance.

The CTB maintains a partnership with the Aging and Disability Resource Center (ADRC). Residents in nursing facilities can call the ADRC and speak to an options counselor about information to return back to the community. Counselors will register residents for the Medicaid Waiver and a referral is sent to CTB to follow up.

Initial contact with the resident is handled within a 24- to 72-hour period. A formal intake that assesses the resident's needs, abilities, goals, natural supports, and other variables using a person-centered approach is conducted with each resident. The intake must occur within five business days. All interactions with the resident, legal representative, family members, care coordinators, and other team members throughout the transition process are documented in the Social Assistance Management System (SAMS).

The CTB serves the residents of New Mexico by advocating, assisting, and ensuring that services are delivered within the appropriate time. The CTB program also offers a short-term community support program to individuals to connect them to programs and services that will help them remain in a community-based setting of their choice. In addition, the CTB is piloting a hospital transition program in which individuals discharging from a hospital setting can receive assistance in accessing needed services and supports in the community.

Explanation of Measure: The measure indicates the degree of success each resident demonstrates by remaining in their community-based home for an extended period of time. The long-term services and supports received by the majority of residents that have transitioned from long-term care to a community-based setting support ongoing community-based living. The percent of individuals who remained in the community for six months after discharge demonstrates a successful transition, with a likelihood that they will remain in the community indefinitely.

Trend and Benchmark

- Source of Data: Social Assistance Management System (SAMS) database queries
- Trend: Since there is no national benchmark for this performance measure the benchmark used is 85%. The 85% represents the goal the CTB used in FY15 during the pilot program.

The results for this measure are affected by a combination of factors, including:

- Residents decide not to leave the facility
- Residents cannot leave the facility due to medical necessity and extenuating circumstances
- Residents pass away
- Residents leave the facility against medical advice, not having the appropriate services and supports in place
- Residents refuse services and supports once in the community
- Residents are readmitted for unforeseen circumstances

FY16 Result

During the fourth quarter of FY16, 80% of residents requesting short-term transition assistance from a nursing facility that remained in the community during the six-month follow-up.

	1 st Q	2 nd Q	3 rd Q	4 th Q	Annual
Percent who remained in the community	87%	96%	80%	80%	86%

Of the 222 residents who were assisted by the CTB during the fourth quarter FY16 178, or 80%, of residents requesting short-term transition assistance from a nursing facility remained in the community during the six-month follow-up.

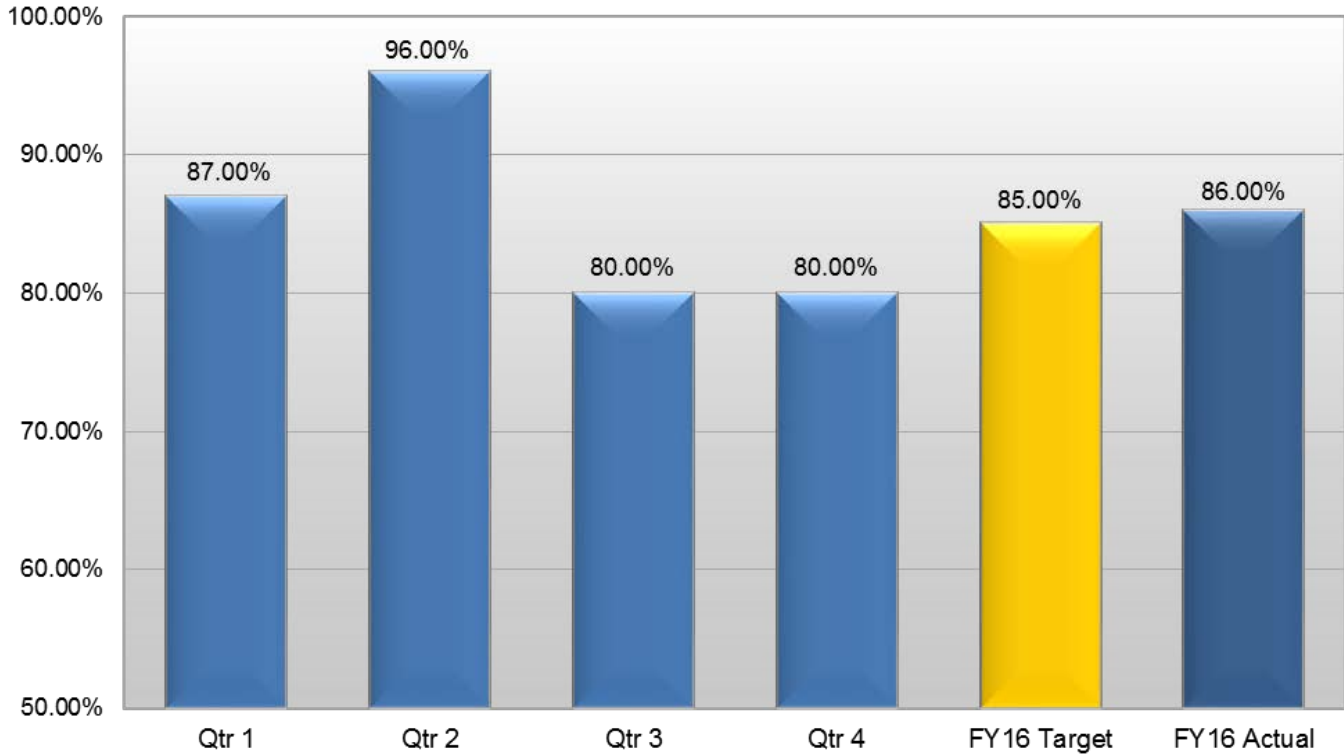
During FY16 the CTB assisted 764 residents requesting transition from a nursing facility to the community; 654, or 86%, of the residents remained in the community six months following discharge. During FY16, CTB assisted 165, or 32%, more residents with transitional services than in FY15.

Increased public awareness of the CTB services is contributing to the rise in requests for assistance. Long-term growth in the populations of elders and caregivers served by the CTB is expected to continue, as is the increase in referrals to the CTB.

During the fourth quarter, some of the factors that contributed to the 80% were:

- Residents not understanding the CTB program prior to accepting the transition,
- Residents passing away,
- Residents refusing services, and
- Residents who returned to a nursing facility.

Percent of residents who remained in the community



Care Transition Bureau's Action Plan

Action	Responsibility	Timeline
Ensure CTB assesses resident when a referral is made within 24-72 hours, so that individuals are adequately identified and needs are addressed	CTB Staff	Daily
Implement a service and support action plan directed by the resident	CTB Staff and Supervisors upon clinical review	Daily
Evaluate consumer file to determine if the correct service and support action plan was given to consumer based assessment	CTB Supervisor	Daily
Ensure all CTB cases are followed up within case timeframe to ensure services are in place	CTB Staff and Supervisor	Daily

Action	Responsibility	Timeline
Continued training of coordinators in options counseling and assessment tool to include documentation in internal database	CTB Supervisor and Bureau Chief	Monthly
Follow up for six months post-discharge to assist in resolving any issues and ensure a successful transition.	CTB Staff and Supervisor	Monthly

Key Performance Measure 3: Percent of calls to Aging and Disability Resource Center that are answered by a live operator

Objective: Ensure that counseling and assistance are provided in a timely and comprehensive manner by answering calls made to the ADRC.

FY16 Target: 85%

4th Quarter: 76%

Year-to-date: 72%

Program: The Aging and Disability Resource Center (ADRC) is for older adults, people with disabilities, their families, and the general public to access a variety of services including state and federal benefits, adult protective services, prescription drugs, in-home and community-based care, housing, and caregiver support. The ADRC provides information, assistance, referrals, and advocacy in those areas of daily living that maximize personal choice and independence for seniors and adults with disabilities throughout New Mexico, as well as for their caregivers through a telephonic, web- and community-based point-of-entry system.

Caller assessment capabilities were improved in FY11 by expanding the ADRC's ability to identify consumers who have multiple needs through *options counseling*. This in-depth counseling model increases the value and quality of services by dedicating more time to examining consumers' long-term care needs.

The ADRC continues to assess consumers for Long-Term Support Services (LTSS) using a person-centered approach through options counseling, which identifies multiple needs of consumers. Options counselors provide over-the-phone counseling in care coordination, which is the process of assisting a client in describing his/her, situation/problem as comprehensively as possible.

Generally, a client recognizes the problem but may not know how to articulate it and/or may not be aware of the resources that are available to the elderly and disabled population in New Mexico. ADRC staff helps clients identify options for addressing their needs, coordinate/navigate New Mexico's aging and disability service systems, and obtain objective information and assistance, which empowers them to make informed decisions.

To identify and address the multiple needs of callers, a care coordination model has been developed including an assessment tool, protocols, and training manual. The care coordination model serves as a guide for counselors to ask callers questions regarding their ability to perform Activities of Daily Living and Instrumental Activities of Daily Living. The topics discussed during the call are documented in the Social Assistance Management System (SAMS) Information and Referral database.

Explanation of Measure: The measure indicates the complexity of calls received by the Aging and Disability Resource Center. It also reflects the extent to which social service program changes are affecting the quality of life of beneficiaries. The ADRC model required by the Federal government's Administration for Community Living (ACL) is an entry where consumers obtain information, assistance, and referrals. The percent of calls answered by a live operator provides an indication of the demand for services and its relationship to customer service and ADRC staff resources.

Trend and Benchmark

Source of Data: ADRC Cisco call system database and Social Assistance Management System (SAMS) database queries

Trend:

- FY12: 79.3%
- FY13: 77.6%
- FY14: 87.0%
- FY15: 70.0%

The results for this measure are affected by a combination of factors:

- Staffing levels: FTE's available through State General Fund/Medicaid match that are responsible for answering calls;
- Vacancies due to turnover and promotion;
- Average training time for new ADRC staff is 2 months before answering live calls;
- Number of calls, which increased 5% from FY15; and
- Seasonal or special events, such as open enrollment for Medicare, Affordable Health Care open enrollment, or changes to the Medicaid program, which can result in a temporary surge in calls during a quarter.

Note: Although the ADRC has 15 FTEs funded by Federal grants to meet specific program goals and objectives, these positions cannot be used to answer live calls. As a general rule, each FTE that can be used to answer calls accounts for an estimated 5% improvement in the measure, assuming there is no appreciable increase in the current call volume.

Benchmark: The benchmark is based on the past fiscal year data collected from the ADRC. There is no national benchmark.

FY16 Results

During the fourth quarter FY16, 76% of the calls to the ADRC were answered by a live operator and the year-to-date result is 72%.

	1 st Q	2 nd Q	3 rd Q	4 th Q	Annual
Total calls	10,659	11,631	10,620	9,904	42,814

During this quarter, the ADRC received 9,904 calls (average of 155 per day), of which 7,536 were answered by a live person. This represents a 1% increase in calls compared to the same quarter in FY15 and an increase of 5% YTD FY16. The higher live call answer ratio for quarter four was due to one additional FTE being available and the supervisor position filled to monitor call flow.

Growing public awareness of the ADRC also contributes to the increased call volume. Long-term growth in the populations of elders and caregivers served by the ADRC is expected to continue to increase the volume of calls to the ADRC.

Of the 9,904 calls received, 2,300 callers left a voice message and their calls were returned within two to three hours by non-ADRC staff. The average talk time for each counselor was 16.59 minutes, with the maximum talk time average being 56 minutes. The average talk time indicates the calls received by the Aging and Disability Resource Center are complex and require addition time to support the quality of life of beneficiaries.

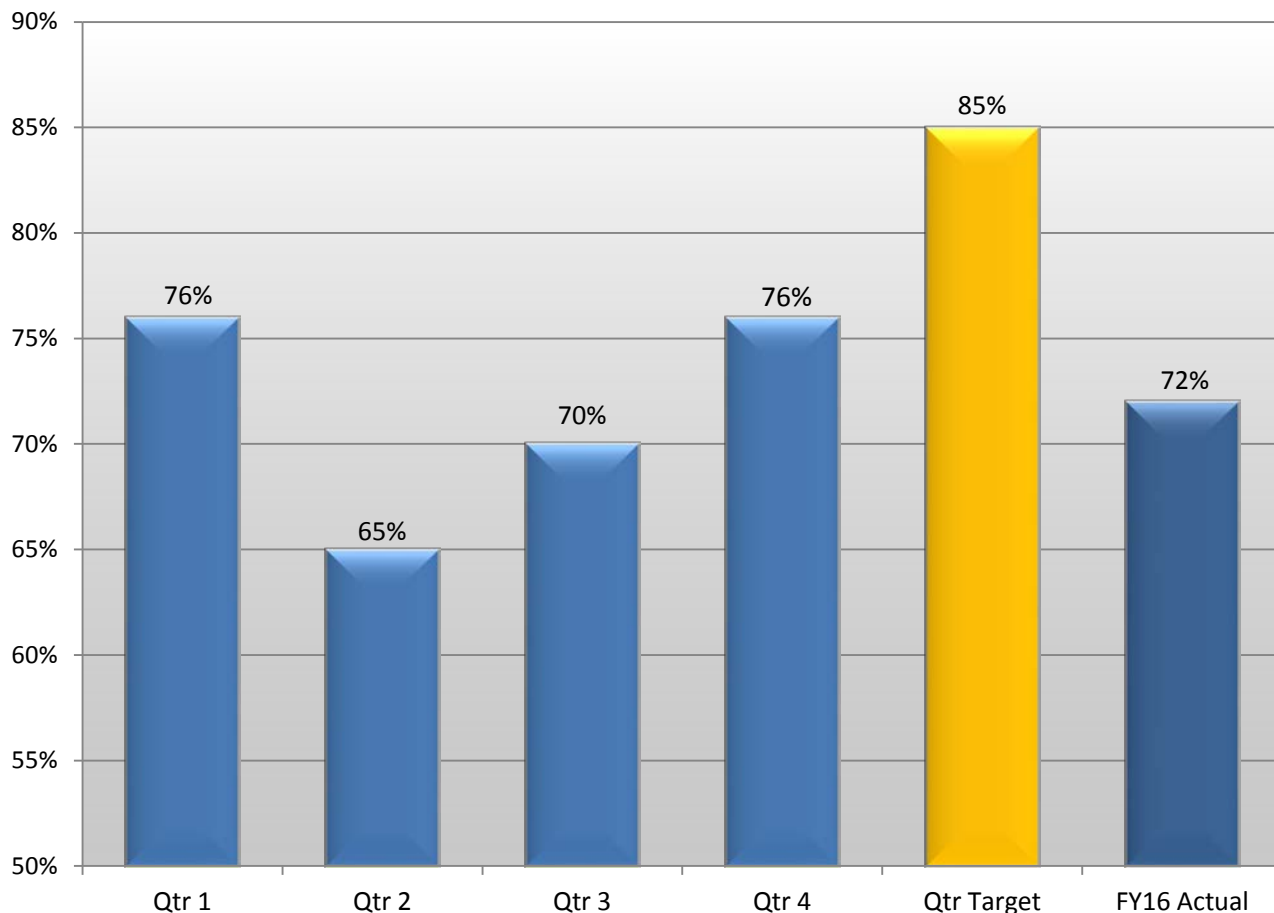
68 calls were considered repeat callers who did not leave a message, kept calling until someone answered, or chose to abandon the call with no information provided. During fourth quarter FY16,,

the ADRC incurred one vacant position due to a promotion, hired one additional FTE who is in the training phase, has two positions in the recruitment phase and another vacancy is projected to be filled in September.

The *Alliance for Information and Referral Taxonomy* is used to track the topics discussed and reviewed during each counseling session. The top five topics of concern in this quarter were:

- Medicaid – 8,034 consumers, a 23% increase from quarter three FY15 (2,506 waiver services; 1,347 Medicaid information and counseling)
- Medicare – 3,787 consumers (benefit explanation, enrollment, and counseling)
- Senior Center Services – 1,108 consumers
- Prescription Drug Assistance – 428 consumers
- Social Security – 182 consumers

Percent of calls to the ADRC answered by a live operator



Consumer and Elder Rights Action Plan

Action	Responsibility	Timeline
1. Ensure ADRC has adequate staff to meet daily calls	ADRC supervisors and managers	Daily
2. Monitor call queue activity and assist with peak activity	ADRC supervisors and managers	Daily
3. Submit recruitment of vacant positions as positions become vacant	ADRC supervisors and managers	As positions become vacant

Key Performance Measure 4: Number of Adult Protective Services investigations of abuse, neglect, or exploitation

Objective: Screen all reports made to Adult Protective Services (APS) and conduct investigations of alleged abuse, neglect, and exploitation of adults who, because of a physical or mental incapacity, lack the ability to self-care or self-protect. The current annual target of 6,100 investigations was based on trends for investigations at the time the target was established in FY15.

FY16 Target: 6,100 (1,525 per quarter)
 Year-to-date: 6,315

4th Quarter: 1,705

Program: APS is mandated by law to investigate allegations of abuse, neglect, and exploitation in regards to adults, 18 years of age or older who, because of a physical or mental incapacity, lack the ability to self-care or self-protect. APS provides a wide variety of services to remediate or prevent continued harm. APS caseworkers travel to the alleged victim’s home to assess the adult’s status, address immediate safety needs, interview the alleged victim, the alleged perpetrator (if any), and collateral contacts who may have knowledge of the incident.

Services which may be provided as the result of an investigation may include: Emergency protective placement, short-term case management, APS home care, contracted adult day care and home care services, attendant care, information and referral, or legal services (filing of guardianship petitions in district court).

Investigations often require complex casework, multiple home visits, contacts, interviews, and in-depth documentation.

Explanation of Measure: The measure reflects the number of investigations conducted by APS of reported adult abuse, neglect, or exploitation.

Trend and Benchmark

- Sources of Data: APSS (*Harmony*) Data System Report
- Trend:

Investigations	State Fiscal Year	Number of Adults Receiving Investigations	Benchmark
Year end	FY13	6,092	6,000
Year end	FY14	6,665	6,000
Year end	FY15	5,931	6,000

- Benchmark: Adult Protective Services based its benchmark on actual experience from fiscal year 2012 through 2015. There is no national or federal benchmark for the number of investigations that occur per population.

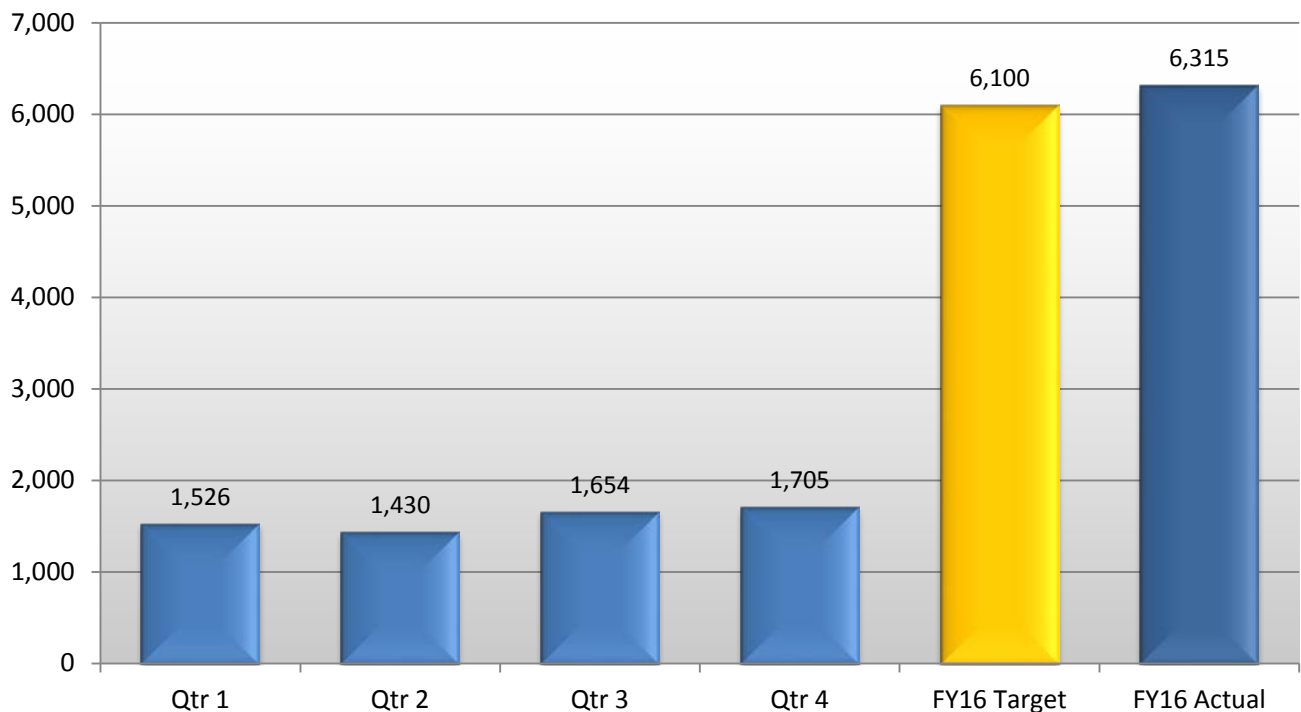
FY16 Results

Fourth quarter FY16 investigations exceeded the target by 11.8%; during the fourth quarter of FY16, the public made 3,531 reports of suspected abuse, neglect, or exploitation, of which 1,705 (48.3%) were accepted for investigation. Fourth quarter FY16 investigations exceeded the target by 180 investigations (11.8%).

As of June 30, 2016, the public had made 13,019 reports of suspected abuse, neglect, or exploitation, of which 6,315 (48.5%) were accepted for investigation. Year-to-date investigations exceed the YTD target of 6,100 by 215 (3.5%) investigations.

Fiscal Year 16	Number of Investigations	Target Number	Difference (+ or -)	Percentage (+ or -)
First Quarter	1,526	1,525	1	0%
Second Quarter	1,430	1,525	-95	-6.2%
Third Quarter	1,654	1,525	129	8.5%
Fourth Quarter	1,705	1,525	180	11.8%
Total	6,315	6,100	215	3.5%

Number of adult protective services investigations of abuse, neglect or exploitation



Key Performance Measure 5: Percent of emergency or priority one investigations in which a caseworker makes initial face-to-face contact with the alleged victim within prescribed time frames

Objective: Caseworkers will initiate a face-to-face response in emergency or priority one investigations within timeframes assigned by field supervisors.

FY16 Target: 98.0%
Year-to-date: 99.5%

4th Quarter: 99.5%

Program: APS is mandated by law to investigate allegations of abuse, neglect and exploitation in regards to adults 18 years of age or older who, because of a physical or mental incapacity, lack the ability to self-care or self-protect. APS provides a wide variety of services to remediate or prevent continued harm. Each report of suspected abuse, neglect or exploitation received is reviewed by a supervisor to determine whether it meets APS criteria for investigation. If the referral is screened in, the supervisor assigns a response priority and an APS caseworker to conduct an investigation.

An emergency response requires face-to-face contact with the alleged victim within three hours; a priority one response requires face-to-face contact within 24 hours.

Explanation of Measure: This measure reflects Adult Protective Services' performance in responding to high priority cases within the assigned timeframe of three hours for an emergency and 24 hours for a priority one investigation. Investigations requiring an emergency or priority one response most often involve an adult's immediate safety and are frequently more complex to resolve.

Trend and Benchmark

- Sources of Data: APSS (*Harmony*) Data System Reports and records review
- Trend
 - FY13: 97.5%
 - FY14: 98.3%
 - FY15: 98.5%
- Benchmark: There are no national or federal benchmarks related to the percentage of APS investigations in which APS made face-to-face contact within the assigned timeframes.

FY16 Results

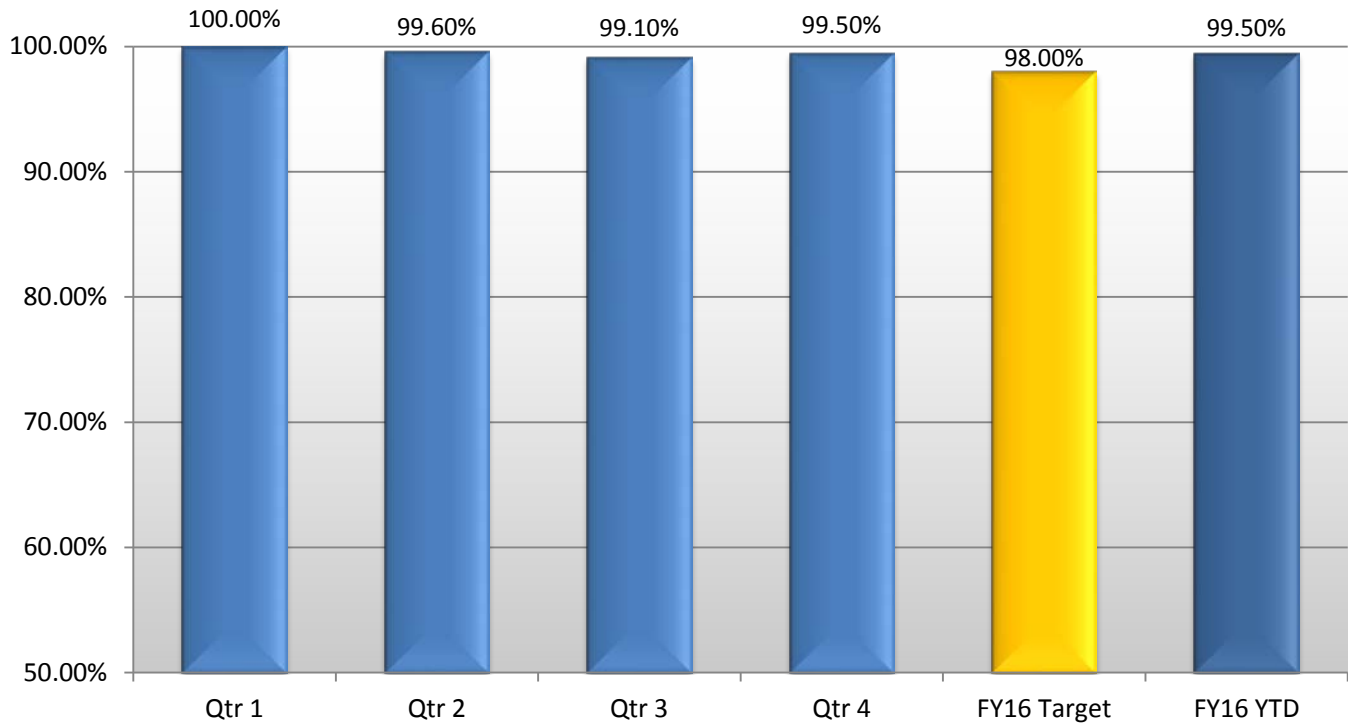
APS exceeded the fourth quarter FY16 target by 1.5% and exceeded the year-to-date target by 1.5%. APS conducted 1,705 investigations during the fourth quarter of FY16, with 434 (25.5%) requiring face-to-face contact within 24 hours or less. Of these, 151 (9%) were screened in as emergencies (E) and 283 (17%) were priority 1 (P1). Staff responded to 432 investigations within the prescribed timeframes. APS responded to 99.5% of emergency and priority one investigations within the established response times.

On-Time Measure	Number of E and P-1 cases	E and P-1 on time	E and P-1 Late	Percent On Time
First Quarter	234	234	0	100%
Second Quarter	243	242	1	99.6%
Third Quarter	347	344	3	99.1%
Fourth Quarter	434	432	2	99.5%
Total	1,258	1,252	6	99.5%

E=Emergency (3 hours)

P-1=Priority 1 (24 hours)

E and P-1 Response within Prescribed Timeframes



Adult Protective Services Action Plan

Action	Responsibility	Timeline
Recruit, hire, train and retain a sufficiently skilled workforce to ensure the ability to respond/ conduct investigations on a timely basis.	ALTSD; Adult Protective Services management	Continuous
Continue public outreach to the community regarding how to recognize and report adult abuse, neglect, and exploitation.	APS staff	Ongoing
Prevent continued abuse, neglect, and exploitation of adults by providing home and community based service interventions which support older adults and adults with disabilities to remain safely in their own homes.	Adult Protective Services, through its field staff and service contractors	Ongoing

Key Performance Measure 6: Percent of older New Mexicans whose food insecurity is alleviated by meals received through the aging network

Objective: Alleviate food insecurity for at-risk, older New Mexicans so that their daily nutritional requirements are met, fostering health and wellness.

FY16 Target: 62%

Year-to-date: 94%

Program: The Aging Network Division serves older adults through cooperative arrangements with New Mexico area agencies on aging for the provision of supportive services, such as congregate and home-delivered meals, transportation, caregiver support, and multipurpose senior centers. The area agencies contract with local and tribal governments and with private organizations to deliver services throughout New Mexico.

Explanation of Measure: This performance measure indicates the extent to which congregate and home-delivered meals are alleviating food insecurity among New Mexicans age 60 and older (age 55 and older in tribal communities). Food insecurity is defined by the U.S. Department of Agriculture (USDA) as limited access to adequate food due to lack of money and other resources. Aging Network meal providers serve congregate and home-delivered meals to consumers throughout the state, including in rural and tribal communities.

Trend and Benchmark

- Source of Data: Area Agencies on Aging and service providers
- Trend

State Fiscal Year	Number of Persons Served	% of older New Mexicans with food insecurity
FY13	49,827	60%
FY14	50,922	61%
FY15	50,832	87%

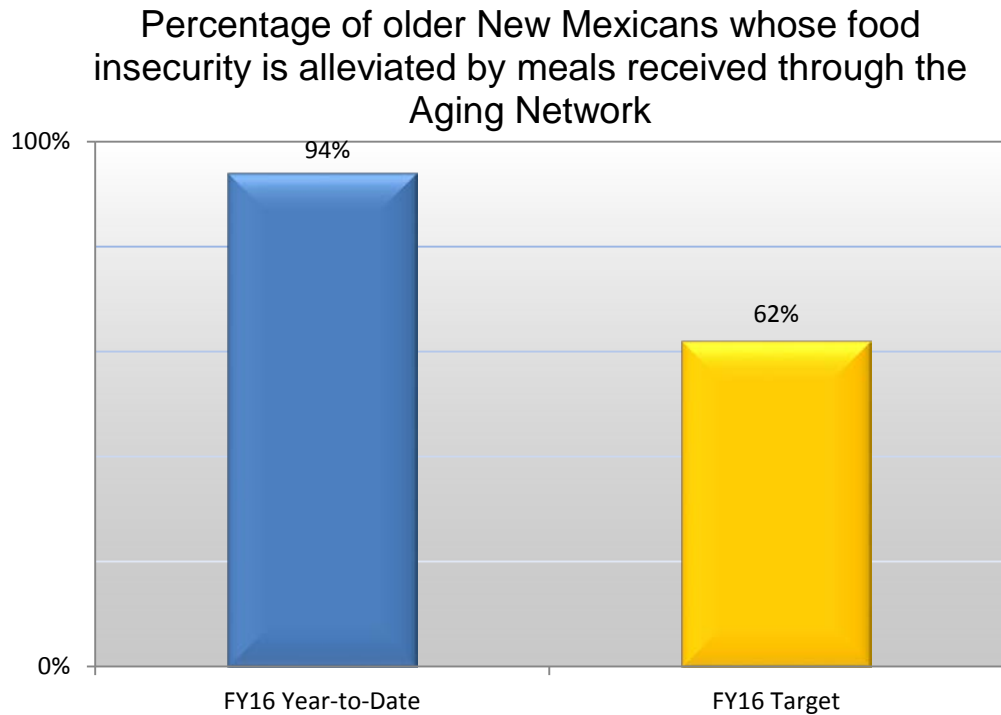
- Benchmark: The benchmark is based on a study of senior hunger, which was updated in May 2014 by the *National Foundation to End Senior Hunger, Inc.* The study methodology includes a standardized survey—the Core Food Security Module (CFSM)—which the USDA uses to establish the official food insecurity rates of households in the United States.

The 2012 study by the *National Foundation to End Senior Hunger, Inc.*, reported that 13.1% of New Mexican seniors age 60 and over were estimated to be food insecure. According to the U.S. Census Bureau (<http://www.census.gov>) in 2014 the total 60+ population in New Mexico was 447,604, resulting in 58,636 food insecure seniors.

FY16 Results

In the fourth quarter FY16, 30,358 people were served 1,201,909 meals. Each quarter reflects the unduplicated count for that quarter only; quarters are NOT totaled for the year-to-date count. The total reflects the annual numbers of unduplicated consumers and meals at the end of the state fiscal year.

Consumers FY16	1 st Q	2 nd Q	3 rd Q	4 th Q	Year-to-Date
Congregate	24,608	21,627	20,073	22,626	41,816
Home-delivered	9,270	7,400	7,325	7,735	13,072
Total	33,878	29,027	27,398	30,358	54,888



Aging Network Action Plan

Action	Responsibility	Timeline
1. Issue Area Plan Guidelines	ALTSD	3 rd Quarter
2. Area agencies develop plans	Area Agencies on Aging	4 th Quarter
3. Approve plans	ALTSD	4 th Quarter
4. Service delivery and reporting	Area Agency Contract Service Providers	Monthly
5. Training	ALTSD and Area Agencies on Aging	Quarterly

Key Performance Measure 7: Number of hours of caregiver support provided

Objective: Provide caregiver support so that older adults and persons with disabilities can remain as independent as possible in their own homes for as long as possible and so that caregivers can receive the support they need to assist loved ones.

FY16 Target: 400,000
Year-to-Date: 429,612

4th Quarter: 112,837

Program: The Aging Network Division serves older adults through cooperative arrangements with New Mexico area agencies on aging for the provision of supportive services, such as congregate and home-delivered meals, transportation, caregiver support, and multipurpose senior centers. Area agencies contract with local and tribal governments and with private organizations to deliver services throughout New Mexico. The median age of both current and former caregivers is 61 years of age and the median age of the people they are providing, or have provided care for, is 80. In addition to providing care for elders, caregivers may also be caring for younger family members; 15% are caring for children under age 18 who are living with them.

Explanation of Measure: Caregiver support is a strategic priority for ALTSD. Services reported under this measure include home care, adult day care, respite care, and other support services. The measure has been expanded to include training, counseling, and support groups in order to more comprehensively reflect the wide array of support services being provided to New Mexico caregivers. The training data reported includes evidence-based caregiver training, such as that provided through the *Savvy Caregiver* training program. In addition to the services provided by area agency contract providers, this measure also includes services provided by the Alzheimer's Association, New Mexico Chapter.

Trend and Benchmark

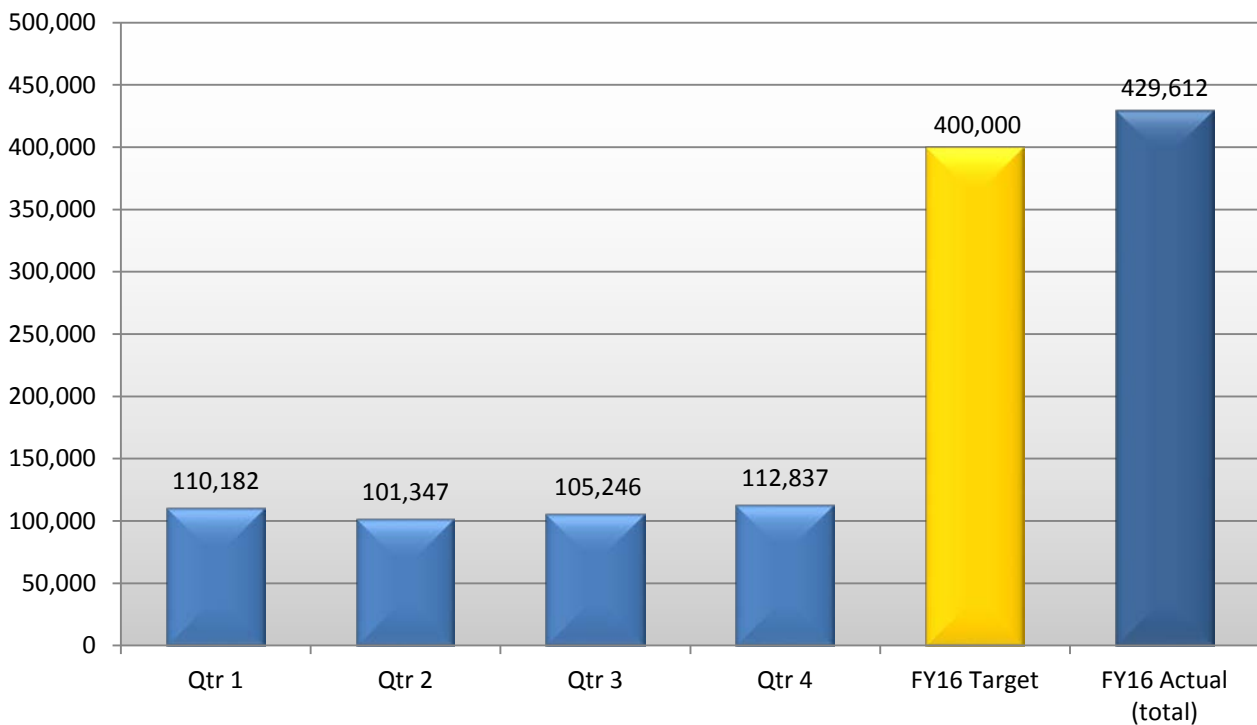
- Source of Data: Area Agencies on Aging and service providers
FY12: 358,981
FY13: 379,838
FY14: 379,097
FY15: 392,872
- Benchmark: There is no national benchmark for caregiver support. ALTSD has examined respite care compared to other states and concluded that direct comparisons are not possible due to variations in the types of respite care provided and segments of populations served.

FY16 Results

Home care, adult day care, respite care, training, counseling, and support groups are key services that support New Mexicans caring for loved ones at home. Advances in medical care have enabled older adults and persons with disabilities to enjoy longer lives. However, the prevalence of chronic conditions such as dementia and diabetes has increased, often limiting the ability of individuals to care for themselves. Caregiver support services assist those caring for older adult parents and spouses with chronic conditions, acute diseases, mobility impairments, or dementias. They also assist older parents caring for returning disabled veterans or other younger disabled adult children, as well as kinship caregivers such as grandparents raising grandchildren. FY16 is the first year for this revised and expanded measure.

FY16 Services	1 st Q	2 nd Q	3 rd Q	4 th Q	Year-to-date
Home Care	30,468	27,686	27,371	27,246	112,771
Adult Day Care	39,421	32,984	36,414	39,315	148,134
Respite Care	37,543	35,640	39,214	41,173	153,570
Other Support Services	2,750	5,037	2,247	5,103	15,137
Total	110,182	101,347	105,246	112,837	429,612

Number of hours of caregiver support provided



Aging Network Action Plan

Action	Responsibility	Timeline
1. Issue Area Plan Guidelines	ALTSD	3 rd Quarter
2. Area agencies develop plans	Area Agencies on Aging	4 th Quarter
3. Approve plans	ALTSD	4 th Quarter
4. Service delivery and reporting	Area Agency Contract Service Providers	Monthly
5. Training	ALTSD and Area Agencies on Aging	Quarterly